

Docket No.: M115.2P-11240-US01



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David E. Helgerson; Brian D. Koenig; Steven A.

Jensen; John Fresonke; Timothy J. Hall

**Application No.:** 10/622623

Filed: July 18, 2003

For: CONVEYOR ASSEMBLY

Group Art Unit: Not Yet Assigned

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### TRANSMITTAL LETTER

- 1. In regard to the above-identified application, in addition to this 2 page transmittal letter, we are submitting the attached: 4 page Information Disclosure Statement; 2 page list of References; 2 References; and Return Postcard.
- 2. With respect to fees:
  - No additional fee is required.
  - □ Attached is check(s) in the amount of \$
  - □ Charge additional fee to our Deposit Account No. 22-0350.

# 3. CONDITIONAL PETITION FOR EXTENSION OF TIME

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefore.

4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Sincerely,

VIDAS, ARRETT & STEINKRAUS

Date: October 13, 2003

Edwin E. Voigt II

Registration No.: 36042

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

Facsimile: (952) 563-3001

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Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to, Commissioner for Patent, P.Q. Box 1450, Alexandria, VA 22313-1450, on October 13, 2003.

Mary C. Granger

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## INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, except that U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

X-	I. This state	ment qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.							
§1.97(	b) or otherwis	se because to the knowledge of the undersigned attorney it is being filed							
(check	all that apply	y):							
	(1)	within 3 months of the filing date of the application (other than a CPA); or							
	(2)	within 3 months of entry of the national stage; or							
	(3)	before the mailing of a first Office Action on the merits;							
	(4)	before the mailing of a first Office Action after the filing of a request for							
		continued examination (RCE) under §1.114;							
	(5)	as part of a continued prosecution application (CPA); or							
	(6)	during the period of a suspension of action for a CPA under 37 C.F.R.							
		§1.103(b).							
	II. This stat	ement is believed to require a fee or the submission of a certification under							
	37 C.F.R. §1	.97 (c) or otherwise. If this statement is being filed after the latest of: (1)							
	three months beyond the filing date of a national application (other than CPA); (2) three								
	months beyo	and the date of entry of the national stage as set forth in §1.491 in an							
	international	application; (3) the mailing of a first Office Action on the merits; (4) the							
	mailing of a	first Office Action after the filing of a request for continued examination							
	under §1.114; or (5) after the filing of a request for a continued prosecution application,								
	but before the mailing date of the earlier of a final office action under §1.113, a notice o								
	allowance under §1.311 or an action that otherwise closes prosecution in the application								
	then:								
	(1)	a certification as specified in §1.97(e) is provided below; or							
	(2)	a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or							
		included with the payment of other papers filed together with this							
		statement.							

	III. 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the
	earlier of a final office action under §1.113, a notice of allowance under §1.311, or an
	action that otherwise closes prosecution in the application, but before payment of the
	issue fee, then:
	(1) a certification as specified in §1.97(e) is completed below; and
	(2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or
	included with payment of other papers filed together with this statement.
<u>X</u>	IV. Fee Authorization. If any fee is due for consideration of this Information Disclosure
	Statement and full payment has not been submitted herewith, regardless of which boxes
	have been checked above, the Commissioner is hereby authorized to charge any
	additional fees associated with this communication to Deposit Account No. 22-0350.
	The Commissioner is hereby authorized to credit any overpayment associated with this
	communication to Deposit Account No. 22-0350.
If pare	agraph II.1 or III is checked, also check one of the paragraphs below
	I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in
	this Information Disclosure Statement was first cited in a communication from a foreign
	patent office in a counterpart foreign application not more than three months prior to the
	date of the filing of this information disclosure statement.
	This communication was not received by any individual designated in §
	1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
	I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the
	information disclosure statement was cited in a communication from a foreign patent
	office in a counterpart foreign application, and to the knowledge of the person signing the
	statement after making reasonable inquiry, no item of information contained in the
	information disclosure statement was known to any individual designated in 1.56(c) more

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

than three months prior to the filing of the Information Disclosure Statement.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Sincerely,

VIDAS, ARRETT & STEINKRAUS

Date: October 13, 2003

Edwin E. Voigt II

Registration No.: 36042

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

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LIST PRACEINA LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

**ATTY DOCKET NO.:** M115.2P-11240-US01

**APPLICATION NO.: 10/622623** 

APPLICANT: David E. Helgerson; Brian D. Koenig; Steven A. Jensen; John Fresonke;

Timothy J. Hall

(Use several sheets if necessary)			FILING DATE: July 18, 2003				GROUP: Not Yet Assigned		
REFERE	ENC	E DESIGNATION		U.S.	PATENT AND PUBLISH	ED APPL	ICATIO	N DOCUMENTS	
EXAM'S INIT.		DOCUMENT NUMBER	D	ATE	NAME		ASS/ BCLASS	FILING DATE IF APPROPRIATE	
A	<b>AA</b>	1725740	8/	20/29	Schulte	198/	788		
A	AB	4557382	12	2/10/85	Johnson	206/	308.3		
A	<b>AC</b>	4793262	12	2/27/88	Horn	104/	168		
A	AD.	5086910	2/	11/92	Terpstra	198/	572		
A	<b>AE</b>	5145169	9/	08/92	Kato	271/	272		
A	<b>AF</b>	5215184	6/	01/93	Huber	198/	782		
A	4G	5904239	5/	18/99	Narisawa	198/	783		
A	<b>AH</b>	6047812	4/	11/00	Horn, et al.	198/	781.06		
A	<b>AI</b>	6370447	4/	09/02	Miyazaki	700/	230		
A	<b>A</b> J	6378694	4/	30/02	Onoyama, et al.	198/	781.06		
A	<b>AK</b>	6460683	10	)/08/02	Pfeiffer	198/	460.1		
A	AL								
		I	FOR	EIGN P.	ATENT DOCUMENTS				
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C	CA								
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EXAMINER			D.	ATE CO	NSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OUT 1 5 2003 ES

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)

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APPLICANT: David E. Helgerson; Brian D. Koenig; Steven A. Jensen; John Fresonke;

Timothy J. Hall

FILING DATE: July 18, 2003

GROUP: Not Yet Assigned

EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)	
	DA	2002/0070100 A1	6/13/02	Kalm	
	DB	2003/0089580 A1	5/15/03	Pfeiffer	
	DC				

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY

EXAMINER DATE CONSIDERED

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)			АТ	TY DOCKET NO.:	M115.2P-11240-US	APPLICATION NO. 10/622623					
				APPLICANT: David E. Helgerson; Brian D. Koenig; Steven A. Jensen; John Fresonke; Timothy J. Hall							
			FI	LING DATE: July 18	3, 2003	GROUP: Not Assigned					
			US	APPLICATIONS	REALTED BY P	RIOR	ITY				
EXAM'S INIT		APPLICATION NO.		FILING DATE	PATENT NO.	INV	ENTOR(S)	FORM 1449/892 ENC			
	EA										
	EB										
	EC										
	ED										
	EE										
	EF										
EXAMINER				DATE CONSIDERED							

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